

BIENNIAL REPORT OF RESEARCH CONDUCTED IN THE CALIFORNIA DEPARTMENT OF CORRECTIONS AND REHABILITATION

For the Period of
July 1, 2006 through June 30, 2008



State of California
Department of Corrections and Rehabilitation

Office of Research
Adult Research Branch

September 2009



STATE OF CALIFORNIA

Department of Corrections and Rehabilitation

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EXECUTIVE SUMMARY

California Penal Code Section 3520 requires the California Department of Corrections and Rehabilitation (CDCR) to prepare a biennial report containing a review of each research program which has been approved and conducted. This requirement was suspended for some time but was recently reinstated. This is the first report submitted under the reinstated requirement.

The current report summarizes projects dealing with behavioral research involving prison inmates, parolees, programs, or employees of CDCR. The report includes research conducted by or for CDCR. It describes research conducted by private or other governmental research entities, universities, students, and independent scholars that has been approved by CDCR.

The projects summarized in this report are currently ongoing or recently concluded. Findings are presented for projects completed or commissioned by the department only. Because of the longitudinal nature of some projects, several studies have histories that go back several years. This report covers active or completed projects for the biennial report period of Fiscal Years (FY) 2006-07 and 2007-08 (July 1, 2006 through June 30, 2008.)

Treatment for substance abuse was the most studied research topic, with a total of 11 projects. Substance abuse treatment was followed by prison inmate management or programs, with a total of 8 studies. Mental and physical health issues were the focus of 7 projects. Sex offenders were the subject of 4 studies. Recidivism of inmates in general was studied in 4 projects. Examples of other topics were a longitudinal study of young people, the potential for recruitment of inmates by terrorist organizations, and the development of a curriculum on the impact of crime on its victims.

For the time period of July 1, 2006 to June 30, 2008, there were a total of 41 projects. The Office of Research had 1 study in progress, which was completed after the reporting period. External researchers have 11 active projects. They completed 12 during the reporting period and 8 after the reporting period ended.

Research has also been conducted by contractors. One, the University of California at Irvine, completed one project during FY 2006-07:

- **Violence in California Correctional Facilities: an Empirical Examination of Sexual Assault**
Researchers collected data on sexual assault among prison inmates in California, to identify factors and conditions that allow for assaults, and suggest ways to reduce the number of these assaults. Results indicated that 4 percent of a random sample of inmates reported being sexually assaulted. In a specific sample of transgender inmates, 59 percent reported being sexually assaulted.

A second contractor, the University of California at Los Angeles, completed a project in FY 2007-08. It was an evaluation that found evidence that the program studied was able to influence parolees' behavior:

- **Increased Services to Mentally Ill Parolees** indicated inmates who received a pre-release assessment were more likely to attend Parolee Outpatients Clinics. Attendance was associated with a lower risk of recidivism.

Contractors currently have 4 active studies. In addition to the 2 they completed during the reporting period, they completed 2 after the reporting period ended. There was also 1 contracted project that was cancelled

The next report will cover research projects conducted or completed between July 1, 2008 and June 30, 2010. The Adult Research Branch will provide a report on these projects near or on January 1, 2011, as required by Penal Code Section 3520.

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INTRODUCTION

Penal Code Section 3520 requires the California Department of Corrections and Rehabilitation (CDCR) to report to the California State Legislature on research projects approved and conducted on inmates and parolees. This requirement was suspended for a time but was recently resumed, thereby reestablishing the requirement for submittal of reports.

Penal Code Sections 3502 and 3515 require that:

1. No biomedical research shall be conducted on any prisoner or parolee in the State.
2. Risks to inmates and parolees consenting to research are outweighed by the sum of the benefits to the prisoners and parolees, and the importance of the knowledge gained.
3. Rights and welfare of prisoners and parolees are adequately protected, including the security of any confidential personal information obtained about them.
4. Procedures for selection of prisoners are equitable and that subjects are not unjustly deprived of the opportunity to participate.
5. Conduct of the activity will be reviewed at timely intervals.
6. Legally effective informed consent be obtained by adequate and appropriate methods.

CDCR receives numerous requests from a variety of research proponents seeking access to, or information about, prison inmates and parolees for their research. Penal Code Section 3517 states that "The department shall promulgate rules and regulations reasonably necessary for the effective administration of the provisions of this title." Pursuant to the California Code of Regulations, Title 15, Section 3369.5, CDCR established the Department research advisory committee. This committee reviews each request for appropriateness and compliance with statutory and regulatory requirements, and recommends either approval or disapproval of these requests. The Secretary of CDCR or a designee makes the final decision.

Additionally, Penal Code Section 3505 states behavioral research shall be limited to studies of the possible causes, effects, and processes of incarceration; studies of prisons as institutional structures; or of prisoners as incarcerated persons. CDCR interprets this particular part of the statute broadly, providing the proposed project meets all of the other criteria. Finally, the project must present minimal or no risk, and no more than mere inconvenience to the subjects of the research.

This report summarizes research projects that are either currently ongoing or recently concluded within CDCR. This report makes the distinction between those projects that are initiated or conducted by or for CDCR and those projects that are initiated by other governmental or private research persons or groups. The former respond to the needs of the department while the latter reflect the interests of outside researchers. This report includes active and completed projects for the biennial period from July 1, 2006 through June 30, 2008. Some are multi-year studies, with information about the current stage of the research. Results are provided for projects conducted by or for CDCR.

RESEARCH CONDUCTED BY
THE CALIFORNIA DEPARTMENT OF
CORRECTIONS AND REHABILITATION

Project Title: **Evaluation of the Behavior Modification Unit Pilot Program at High Desert State Prison**

Principal Investigators: Eric Chapman, Norman Skonovd, and Hossein Moftakhar

Institutional Affiliation: CDCR – Adult Research Branch

Project Description: The Behavior Modification Unit (BMU) Pilot Program was implemented at High Desert State Prison in November 2005. This program was developed to respond to disruptive inmate behavior that was not serious enough for placement in the Administrative Segregation Unit (ASU) or Security Housing Unit (SHU), but was disruptive to the operation of General Population (GP) Units.

The goals of the BMU program are to: modify recalcitrant inmate behavior, eliminate and reduce the opportunity to repeat the behavior, and provide nondisruptive inmates the ability to program without continual interruptions.

An evaluation was conducted to determine if the BMU program improves inmate behavior. Results indicate inmates who completed the program had a significantly lower number of Rules Violation Reports after completing the program. This suggests inmates altered their post-program behavior, due either to direct benefits of program participation or to avoid subsequent placement in the program.

Date Initiated: November 2005

Date Completed: July 2008

Status: Completed

RESEARCH CONTRACTED BY
THE CALIFORNIA DEPARTMENT OF
CORRECTIONS AND REHABILITATION

Project Title: **Enhancing Prison Treatment for Women Offenders: An in-Depth Follow-up Study**

Principal Investigator(s): Nina Messina

Institutional Affiliation: University of California, Los Angeles

Project Description: Gender-responsive is a term referring to programming approaches based on theories that fit the psychological, social, and developmental needs of females. CDCR initiated gender-responsive substance abuse treatment in 2007.

The University of California, Los Angeles (UCLA) conducted a one-year follow-up study to evaluate this treatment. Specifically, the study was designed to assess the long-term effectiveness of women-focused treatment programs based on relational theory compared to a standard prison therapeutic community treatment program that promises positive behaviors among women inmates. The results indicated that members of the women-focused treatment group were less likely to return to custody within a year of release compared to a group that received standard treatment.

Date Initiated: June 2007

Date Completed: March 2009

Status: Completed

Project Title: **Evaluation of Mandatory Residential Substance Abuse Aftercare Services**

Principal Investigator: Connie Ireland

Institutional Affiliation: California State University Long Beach Foundation

Project Description: This project measures the effectiveness of Senate Bill (SB) 1453, enacted in January 2007, which provides 150 days of residential substance abuse treatment to parolees who meet the criteria outlined in the statute. The evaluation will monitor the effectiveness of the mandatory treatment for parolees and, at the same time, evaluate a pilot program at two institutions (Valley State Prison for Women and California Rehabilitation Center) which provides 120 days of residential substance abuse treatment to parolees who do not meet the criteria outlined in SB 1453.

Date Initiated: June 2008

Status: Active

Project Title: **An Evaluation of 19 Vocational Education Classrooms at 8 Prison Sites**

Principal Investigator: Andrew Dick

Institutional Affiliation: California State University, Chico

Project Description: This project is designed to evaluate 19 vocational education classrooms at eight prison sites, covering 12 distinct vocational education programs. The study will focus on the effectiveness of participation in vocational education at reducing the likelihood of inmates returning to prison. Additional research questions about curriculum and curriculum delivery, post-release employment, program quality, and progress of students in the programs will be addressed.

Date Initiated: February 2008

Status: Active

Project Title: **Family Foundations Program/Community Prisoner Mother Program**

Principal Investigator: Phil Shaver

Institutional Affiliation: University of California, Davis

Project Description: The Family Foundation Program is a highly structured, 12-month alternative sentencing program for nonviolent pregnant and parenting female offenders that places emphasis on substance abuse treatment, parenting skills, and child development. The mothers are recommended for placement by the sentencing court and come directly from their county of commitment. They can bring one or two children under the age of six with them to the residential treatment facility.

The Community Prisoner Mother Program has been in existence since 1985. This program is mandated by Penal Code Section 3410. The mission of the program is to provide a safe, stable, wholesome, and stimulating environment for both mother and child, utilizing the least restrictive alternative to incarceration consistent with the needs for public safety. The primary focus of the program is the best interest of the children. The goals of the program are to promote or maintain the mother/child bond, reunite the family, and enhance self-reliance and self-esteem. The ultimate vision is to break the inter-generational chain of crime and social service dependency.

The principal investigator intended to evaluate these programs specifically in relation to attachment-oriented interventions for adults in prison. Due to the lack of a comparison group, it was determined this would not be possible. Dr. Shaver did complete an extensive literature review on attachment theory.

Date Initiated: March 2008

Date Completed: October 2008

Status: Completed

Project Title: **Increased Services to Mentally Ill Parolees**

Principal Investigator: David Farabee

Institutional Affiliation: University of California, Los Angeles

Project Description: This program increases and enhances Parole Outpatient Clinic (POC) clinical services to parolees at high risk of criminal behavior due to their mental illness. The increase in services is achieved by requiring all inmates identified as being part of the Mental Health Services Continuum Program (MHSCP) to attend at least one POC appointment a week upon parole, for a period of at least nine weeks. Group therapy sessions are provided to all parolees identified as being at risk of violating parole due to their mental illness. The increased frequency of POC appointments and treatment are intended to assist CDCR in maximizing cost savings to the State while simultaneously improving public safety.

The findings from the formal evaluation of the Increased Services to Mentally Ill Parolees program indicated that about half of eligible participants received a pre-release assessment. Those who received a pre-release assessment were more likely to attend a POC upon release. POC attendance was in turn associated with a lower risk of recidivism.

Date Initiated: February 2007

Date Completed: June 2008

Status: Completed

Project Title: **Pre-Parole Planning Benefits Evaluation**

Principal Investigator: David Farabee

Institutional Affiliation: University of California, Los Angeles

Project Description: The Pre-Parole Planning (PPP) Benefits program provides social workers to assist adult inmates in applying for federal and State benefits prior to release. The social workers also assist inmates with identifying appropriate medical and mental health placements and services prior to their release to parole. The goal of the program is to increase inmate eligibility and access to benefits and services.

The evaluation will investigate whether establishing federal and State benefits prior to release provides inmates with a smoother transition into the community and increases their ability to successfully complete parole.

Date Initiated: November 2008

Status: Active

Project Title: **Sex Offender Recidivism Study**

Principal Investigator: Jennie Singer

Institutional Affiliation: California State University, Sacramento

Project Description: This project is an evaluation of a sex offender survey data to determine what factors may cause recidivism among sexual offenders. It is being done for the California Sex Offender Management Board, which is charged with creating effective policies for managing sex offenders.

Date Initiated: February 2008

Status: Active

Project Title: **Victimization of Transgender Inmates: An Empirical Examination of a Vulnerable Population in Prison**

Principal Investigator: Valerie Jenness

Institutional Affiliation: University of California, Irvine

Project Description: The purpose of this study was to develop an understanding of the variations in the likelihood and nature of victimization among transgender inmates in CDCR facilities. In addition, it intended to provide an understanding of the differential vulnerability of transgender persons to sexual victimization while they were incarcerated compared to their experiences of victimization in the community.

Date Initiated: January 2008

Date Completed: July 2008

Status: Cancelled

Project Title: **Violence in California Correctional Facilities: an Empirical Examination of Sexual Assault**

Principal Investigator: Valerie Jenness

Institutional Affiliation: University of California, Irvine

Project Description: This project was carried out in response to the Prison Rape Elimination Act of 2003 and the Sexual Abuse in Detention Elimination Act of 2005. Its purpose was to collect data on sexual assault among prison inmates in California, identify factors and conditions that allow for assaults, and suggest ways to reduce the number of these assaults.

Results indicated that 4 percent of a random sample of inmates reported being sexually assaulted. In a specific sample of transgender inmates, 59 percent reported being sexually assaulted.

Date Initiated: April 2006

Date Completed: April 2007

Status: Completed

EXTERNAL RESEARCH
(Research Not Affiliated with
the California Department of Corrections and
Rehabilitation)

Project Title: **Adolescent Outcomes Project/Persistent Effects of Treatment Project**

Principal Investigator: Richard Garvey

Institutional Affiliation: RAND Survey Research Group

Project Description: This study investigates the lasting (persistent) effects of treatment upon adolescents, using telephone interviews. Interviews lasting approximately one hour are conducted confidentially.

Date Initiated: February 2006

Date Completed: Annually

Status: Active (repeated each year)

Project Title: **Adolescent Treatment Services: Young Adult Outcomes/Longitudinal Study**

Principal Investigator: Andrew Morrall

Institutional Affiliation: RAND Corporation

Project Description: This was a long term follow-up study that looked at the effects of probation placement on the health, behavior, feelings, living situation and education of individuals who were on probation as adolescents and were under the supervision of CDCR at the time of the study. This study was funded by the National Institute on Drug Abuse.

Date Initiated: June 2004

Date Completed: June 2009

Status: Completed

Project Title: **Assessing the Needs and Risks of Substance-Abusing Parolees: Developing a Strategy to Reduce Recidivism**

Principal Investigator: Roxanne Clark

Institutional Affiliation: Contra Costa County Department of Education and California State University, Long Beach

Project Description: The aim of this study is to delineate and define risk factors and needs of substance-abusing parolees who have entered the Substance Treatment and Abuse Reduction program in Contra Costa County using previously collected demographic questionnaires and risk assessment surveys.

Date Initiated: July 2007

Status: Active

Project Title: **California Prison and Community Health Initiative**

Principal Investigator: James Hyman

Institutional Affiliation: James B. Hyman, Ph.D., Incorporated

Project Description: This project was an evaluation of the California Prison and Community Health Initiative. Its objectives were to conduct both a process evaluation and an outcome assessment. The goal of the process documentation was to determine the extent to which the project was implemented and operated as proposed. The objective of the outcome assessment was to determine the extent to which the project produced the intended outcomes, which included improved health, decreased alcohol and drug dependence, and connection with community health resources.

Date Initiated: October 2006

Date Completed: December 2008

Status: Completed

Project Title: **Causes and Consequences of Parole Violations in California**

Principal Investigator: Joan Petersillia

Institutional Affiliation: University of California, Irvine

Project Description: This project, resulting in the report *Parole Violations and Revocations in California*, was the first quantitative study to focus on the hidden and highly discretionary process of parole revocation. It investigated the impacts that parolee behavior, parole unit activities, parole staff and supervisor characteristics and community conditions (including the existence of intermediate sanctions) had on recidivism. Three major kinds of recidivism events were reviewed: recorded violations of the parole agreement, parole revocation, and arrest for a new crime.

Date Initiated: November 2006

Date Completed: October 2008

Status: Completed

Project Title: **Center for Advancing Longitudinal Drug Abuse Research**

Principal Investigator: Yih-Ing Hser

Institutional Affiliation: University of California, Los Angeles Drug Abuse Research Center

Project Description: It is suspected there are patterns of relationships between drug addiction and Human Immunodeficiency Virus (HIV) infection, drug treatment, and other service systems such as mental health, criminal justice, welfare, and medical care. Data will be obtained from a number of different sources (with CDCR being one) in an attempt to identify these patterns. The researchers are analyzing relevant individual-level data maintained by CDCR through the year 2010.

Date Initiated: November 2006

Status: Active

Project Title: **Continuation of the Substance Abuse and Crime Prevention Act Evaluation**

Principal Investigator: Darren Urada

Institutional Affiliation: University of California, Los Angeles Integrated Substance Abuse Programs

Project Description: This is a continuation of an existing study of the Substance Abuse and Crime Prevention Act of 2000, also known as Proposition 36. The California Department of Alcohol and Drug Programs has contracted with the UCLA Integrated Substance Abuse Programs for the initial and continuing evaluations (with potential one-year extensions through June 30, 2011). Data from CDCR is utilized to evaluate the variations in promising practices in implementing Proposition 36 across counties.

Date Initiated: August 2007

Status: Active

Project Title: **Estimating the Effect of Security Classification Level on Criminal Recidivism**

Principal Investigator: Charles Loffler

Institutional Affiliation: Harvard University

Project Description: This study was designed to examine whether security classification levels of incoming inmates to CDCR had any effect on the probability of post-imprisonment criminal recidivism. It was built on an earlier program evaluation completed by CDCR and Richard Berk of UCLA, as well as work done by researchers at the Federal Bureau of Prisons. This study investigated whether initial prisoner security classification assignments had any effect on post-imprisonment criminal behavior after controlling for pre-assignment characteristics.

Date Initiated: June 2006

Date Completed: August 2006

Status: Completed

Project Title: **Former Prisoner Survey**

Principal Investigator: Candace Johnson

Institutional Affiliation: National Opinion Research Center, University of Chicago

Project Description: This was a survey of former prisoners (CDCR Parolees) to assess the incidence of prison rape. This research, part of a national research project, was conducted on behalf of the Bureau of Justice Statistics, United States Department of Justice, under the Prison Rape Elimination Act (PREA).

Date Initiated: March 2008

Date Completed: December 2008

Status: Completed

Project Title: **Four Models of Telephone Support for Stimulant Recovery**

Principal Investigator: David Farabee

Institutional Affiliation: University of California, Los Angeles

Project Description: The overall objective of this research was to develop and refine empirically supported aftercare interventions that promoted healthy behavior and sustained abstinence from illicit drug use. The goals of this study were:

1. Test the efficacy of telephone support.
2. Compare the relative effectiveness of four types of telephone support.
3. Ascertain the direct and indirect effects of telephone support on stimulant use and related behavior 12 months following the completion of the intensive treatment period.
4. Test the hypothesized interactions between the patient characteristics and counseling styles to develop a set of matching criteria that could be implemented and tested in a subsequent study.

Date Initiated: July 2007

Date Completed: December 2008

Status: Completed

Project Title: **Fragile Families and Child Well-being in Middle Childhood**

Principal Investigator: Sara McLanahan

Institutional Affiliation: Princeton University

Project Description: This is a long term follow-up study of new parents and is funded by the National Institute of Health. Approximately 40 CDCR inmates were interviewed by telephone or in person in January 2004. The current research will involve follow-up interviews with additional subjects including six on parole. The study is designed to primarily address four questions:

1. What are the conditions and capabilities of unmarried parents, especially fathers?
2. What is the nature of the relationships between unmarried parents?
3. How do children born into these families fare?
4. How do policies and environmental conditions affect families and children?

Date Initiated: May 2008

Status: Active

Project Title: **Global Positioning Satellite Monitoring for High Risk Sex Offenders in San Diego**

Principal Investigators: Susan Turner and Jesse Janetta

Institutional Affiliation: University of California, Irvine

Project Description: This project had five major research questions:

1. What motivated the Global Positioning Satellite Monitoring for High Risk Sex Offenders pilot program and what was its design?
2. What were the characteristics of the offenders who participated in the pilot program and how did they compare with other high risk sex offenders?
3. What were the implementation experiences?
4. What impact did this type of monitoring have on the system and on offender behavior?
5. What were the costs of the pilot program?

Date Initiated: February 2006

Date Completed: November 2007

Status: Completed

Project Title: **Group Cognitive Behavioral Therapy for Depression in Clients with Alcohol and Other Drug Disorders**

Principal Investigator: Katherine Watkins

Institutional Affiliation: RAND Corporation

Project Description: This study is examining whether group cognitive behavioral therapy is an effective and cost effective treatment for depression in clients with alcohol and other drug disorders. The interviews are part of a long term study that includes follow-ups with inmates who voluntarily participated in the study prior to their incarceration in a CDCR facility.

Date Initiated: April 2008

Status: Active

Project Title: **Impact of Incarceration on Young Offender Recidivism**

Principal Investigator: Kristy N. Matsuda

Institutional Affiliation: University of California, Irvine

Project Description: This study looked at the impact of the incarceration environment on young offender recidivism in California. The following major questions were addressed:

1. Did young offenders that serve time in juvenile correctional facilities recidivate less than young offenders who serve time in adult prisons?
2. What characteristics of the incarceration environment impacted the recidivism of young offenders?
3. What pre-incarceration characteristics of the individual predicted the recidivism of young offenders?
4. How did pre-incarceration characteristics and incarceration environment characteristics interact to predict recidivism?

Date Initiated: October 2007

Date Completed: December 2008

Status: Completed

Project Title: **Impact of Global Positioning Satellite Technology on Identity of High Risk Offenders**

Principal Investigator: Simon Cole

Institutional Affiliation: University of California, Irvine

Project Description: This study used the focus group method to obtain data. Participants were drawn from the initial caseloads in San Diego. The goal of this project was to investigate the ways in which new and emerging information technologies were transforming the practices of privacy and identity in contemporary society.

Three core questions were addressed:

1. How were practices of privacy and identity changing?
2. What new patterns were evolving?
3. How was the relationship between technical and social interaction developing?

Date Initiated: August 2006

Date Completed: December 2006

Status: Completed

Project Title: **Multi-site Evaluation of Foster Youth Programs [#1]**

Principal Investigator: Alma M. Kuby

Institutional Affiliation: National Opinion Research Center, University of Chicago

Project Description: This involved a survey of CDCR inmates who, as former foster youth, participated in one of three programs in Los Angeles County, Kern County or Alameda County. This was part of a longitudinal evaluation of these programs and involved only six CDCR inmates.

Date Initiated: September 2006

Date Completed: December 2006

Status: Completed

Project Title: **Multi-site Evaluation of Foster Youth Programs [#2]**

Principal Investigator: Matt Stagner

Institutional Affiliation: Urban Institute

Project Description: The purpose of this study was to learn more about the services foster youth received and how they did after they left the foster care system. This study looked at how special programs helped youth with their education, employment, relationships, and family formation. Juveniles within CDCR's Division of Juvenile Justice (DJJ) who chose to be part of this study prior to their entry into DJJ, were surveyed.

Date Initiated: September 2006

Date Completed: December 2006

Status: Completed

Project Title: **National Longitudinal Study of Youth 1997**

Principal Investigator: Dan Black

Institutional Affiliation: University of Chicago

Project Description: This is part of a long term follow-up study conducted every year by the National Opinion Research Center, University of Chicago on behalf of the Bureau of Labor Statistics, United States Department of Labor. The purpose of the survey is to gather information regarding American youth as they make the transition from school to work and to independent households.

Date Initiated: 1997

Status: Active (survey is conducted annually)

Project Title: **Perceived Efficacy of Current and Hypothetical Interventions in Relation to Paroled Sex Offenders**

Principal Investigator: Diana M. Concannon

Institutional Affiliation: DC Consulting Group, Incorporated

Project Description: The objective of the study was to identify initiatives that both community members and paroled sex offenders believed had the greatest impact on promoting safety and preventing recidivism.

The study involved a survey of 50 community members and 50 paroled sex offenders.

Date Initiated: July 2006

Date Completed: December 2006

Status: Completed

Project Title: **Prevalence and Nature of Services and Programs for Prisoners with Underage Children**

Principal Investigator: Heath C. Hoffman

Institutional Affiliation: College of Charleston

Project Description: This project involved a survey of wardens at CDCR prisons to identify services to prisoners with underage children. The intent of the survey was to provide information on the availability and types of programs available to inmates with children and to improve programs that would foster improved prisoner relationships with their children and family members.

Date Initiated: April 2006

Date Completed: December 2006

Status: Completed

Project Title: **Project HOLLA: Developing a Tailored Case Management Intervention for Men Recently Released from Prison**

Principal Investigator: Barry Zach

Institutional Affiliation: Centerforce

Project Description: This is a two-year study to tailor and assess the effectiveness of an HIV prevention case management program among recently released parolees. Parolees will be recruited from Police and Corrections Team meetings in Oakland and Richmond. Parolees will be those required to attend these meetings as a condition of parole.

This study will test the effectiveness of the program in terms of:

1. Increasing access to HIV testing.
2. Reducing HIV risk behavior.
3. Improving access to other health services.
4. Reducing stress and increasing coping skills.

Another goal of the research is to determine the facilitators and barriers to recruiting and retaining men in the program and to measure client satisfaction with the program. A final goal of the study is to describe the program and the evaluation results as well as to disseminate program materials (including the program manual) to facilitate replication of successful components in other locations.

Date Initiated: August 2007

Status: Active

Project Title: **Project Light**

Principal Investigator: Marguerita Lightfoot

Institutional Affiliation: University of California, Los Angeles Center for Community Health

Project Description: This was a longitudinal study of a Human Immunodeficiency Virus/Acquired Immune Disease Syndrome (HIV/AIDS) prevention program. Only one CDCR inmate was involved as follow-up to his participation in the program prior to incarceration.

Date Initiated: January 2008

Date Completed: July 2008

Status: Completed

Project Title: **Racial and Ethnic Disparities in California's Three Strikes Sentencing Process**

Principal Investigator: Elsa Chen

Institutional Affiliation: Santa Clara University

Project Description: This study sought to determine the extent and sources of racial and ethnic disparities in the application of the "Three Strikes and You're Out" law in California, using statistical analysis of offender-level data obtained from CDCR.

Date Initiated: September 2006

Date Completed: December 2007

Status: Completed

Project Title: **Racial Integration in the California Prisons**

Principal Investigator: Tonya Lindsey

Institutional Affiliation: University of California, Santa Barbara

Project Description: The research compared racial integration policies and procedures in three CDCR institutions: Centinela State Prison, California Institution for Men, and Deuel Vocational Institute. Research included observation of training sessions regarding integration procedures and interviews of 24 randomly selected inmates and 24 correctional officers at each of the institutions.

Date Initiated: April 2007

Date Completed: September 2007

Status: Completed

Project Title: **Ready4Work: An Ex-Prisoner, Community and Faith Initiative**

Principal Investigator: Wendy S. McClanahan

Institutional Affiliation: Public/Private Ventures, Philadelphia

Project Description: This study examined the extent to which participation in Ready4Work reduced recidivism. Data was collected from two CDCR sites and compared with similar projects implemented elsewhere.

Date Initiated: February 2006

Date Completed: December 2007

Status: Completed

Project Title: **Relationship Between Ethnicity, Age at Incarceration, Level of Substance Abuse, Length of Sentence, and Depression Among Incarcerated Male African-American and Hispanic Youth**

Principal Investigator: Dean Rishel

Institutional Affiliation: Alliant International University

Project Description: This study was designed to obtain an understanding of the mental condition of young offenders and its relationship to substance abuse and length of sentence.

Date Initiated: November 2007

Date Completed: December 2008

Status: Completed

Project Title: **Standardized Victim Impact Curriculum for Corrections**

Principal Investigator: Mario Gaboury

Institutional Affiliation: University of New Haven

Project Description: This project revises and updates the DJJ Impact of Crime on Victims Curriculum originally developed in 1984. This curriculum, for use with DJJ wards and CDCR adult inmates, will educate about the impact of crime on victims using written materials, videos, activities, and victim speakers. The project will evaluate the curriculum using a matched comparison group. The evaluation will first look at immediate knowledge gains as measured by pre- and post-test questionnaires. Subsequent analysis will focus on behavior outcomes.

Date Initiated: September 2006

Status: Active

Project Title: **Street Smart: Skills Maintenance**

Principal Investigator: Marguerita Lightfoot

Institutional Affiliation: University of California, Los Angeles Center for Community Health

Project Description: This was a longitudinal study of two programs designed to document the relative efficacy and cost-effectiveness of community programs to reduce high-risk sex offending and substance abuse. Thirteen CDCR inmates were involved in the follow-up to these programs prior to incarceration.

Date Initiated: October 2006

Date Completed: December 2006

Status: Completed

Project Title: **Terrorist Recruitment in American Correctional Institutions: An Exploratory Study of Nontraditional Faith Groups**

Principal Investigator: Mark S. Hamm

Institutional Affiliation: Indiana State University

Project Description: The purpose of this research is to collect baseline information on nontraditional faith groups in United States correctional institutions and to provide a preliminary assessment of their potential for terrorist recruitment. Interviews are to be conducted with wardens, chaplains, officers, and inmates.

Date Initiated: October 2006

Status: Active

Project Title: **Towards Improving Outcomes for Parolees**

Principal Investigator: Jennifer L. Skeem

Institutional Affiliation: University of California, Irvine

Project Description: This is part of a large, multi-site study funded by the MacArthur Foundation entitled "Outcomes for Probationers with Mental Illness." The study involved CDCR because it included a series of three interviews with probationers with mental illness and some of these participants later went to prison. The final two interviews were conducted in prison for those incarcerated. Collected data is currently under review.

Date Initiated: October 2006

Status: Active

Project Title: **Understanding Familicide**

Principal Investigator: Neil Websdale

Institutional Affiliation: Northern Arizona University

Project Description: This was part of a larger study of 350 cases of familicide that obtained data from many different agencies. This study examined files and interviewed 20 perpetrators of familicide currently incarcerated in U.S. prisons. Of these 20, 18 were CDCR inmates. The goal of the project was to better understand intervention strategies to prevent familicide.

Date Initiated: October 2006

Date Completed: December 2007

Status: Completed

Appendix 1

California Penal Code Section 3502:

Except as provided in Section 1706 of the Welfare and Institutions Code, no biomedical research shall be conducted on any prisoner in this state.

Appendix 2

California Penal Code Section 3505:

Behavioral research shall be limited to studies of the possible causes, effects and processes of incarceration and studies of prisons as institutional structures or of prisoners as incarcerated persons.

Appendix 3

California Penal Code Section 3515:

The duties of the Department are to determine:

- (a) That the risks to the prisoners consenting to research are outweighed by the sum of benefits to the prisoners and the importance of the knowledge to be gained.
- (b) That the rights and welfare of the prisoners are adequately protected, including the security of any confidential personal information.
- (c) That the procedures for selection of prisoners are equitable and that subjects are not unjustly deprived of the opportunity to participate.
- (d) That adequate provisions have been made for compensating research-related injury.
- (e) That the rate of remuneration is comparable to that received by nonprisoner volunteers in similar research.
- (f) That the conduct of the activity will be reviewed at timely intervals.
- (g) That legally effective informed consent will be obtained by adequate and appropriate methods.

Appendix 4

California Penal Code Section 3517:

The Department shall promulgate rules and regulations reasonably necessary for the effective administration of the provisions of this title. Action on proposals submitted shall be taken within 60 days. The regulations shall be submitted to the Joint Legislative Prison Committee for review and shall not become operative until 60 days after submission.

Appendix 5

California Penal Code Section 3520:

The Department shall make a report due on or before January 1 of each odd-numbered year containing a review of each research program which has been approved and conducted. The report shall be transmitted to the Legislature and shall be made available to the public.

Appendix 6

California Code of Regulations Title 15, Section 3369.5:

(a) No research shall be conducted on inmates/parolees without approval of the research advisory committee established to oversee research activities within the Department. Members of the research advisory committee shall be named by the Director, and may include departmental staff and nondepartmental persons who are community academic representatives engaged in criminal justice research.

(b) No research project shall be considered without submission of a research proposal that shall contain the following:

- (1) A statement of the objectives of the study.
- (2) The specific values of the project.
- (3) A description of the research methods to be used.
- (4) A description of the measuring devices to be used, or if they are to be developed as part of the project, a statement of their intended use and reason.
- (5) The name of the facility or office where the data will be collected.
- (6) The names and titles of personnel involved and their responsibilities in the project.
- (7) An estimate of departmental staff time needed for the project.
- (8) Starting and ending dates of the research.
- (9) Any additional costs to the State.
- (10) An estimate of the inmate/parolee subjects' time needed for the project and a plan for the compensation of the inmates/parolees.
- (11) The source of funding.
- (12) A copy of the informed consent form to be used in the project which meets the requirements of Penal Code Section 3521.
- (13) A current resume for each professional staff member of the project.
- (14) The full name, date of birth, and social security number of all project staff members who will enter an institution or other departmental facility to carry out the project.

(15) A certification of privacy signed by the project's principal investigator which outlines the procedure for protecting exempt personal information and certifies that the protective procedures shall be followed.

(16) If student research is involved, a letter from the student's faculty advisor stating the student will be working under their supervision and the project is approved by their college/university.

(17) If the proposal was previously reviewed by a committee of another agency or organization, a copy of the record of that committee's approval.

(c) A nondepartmental person, agency or organization applying to conduct research within the Department shall submit to the committee for approval a signed agreement to adhere to all departmental requirements.

(d) Any person, agency or organization conducting research shall, as requested by the Department's chief of research or designee, submit progress reports on their projects.

NOTE: Authority cited: Sections 3509.5, 3517 and 5058, California Penal Code.
Reference: Sections 3500 through 3524 and 5054. California Penal Code.